

INFORMATION DISCLOSURE STATEMENT	Applicant:	David Howell
	Application No.	10/763,642
	Filed:	January 22, 2004
	For:	SYSTEM AND METHOD FOR COLLECTION AND CONVERSION OF DOCUMENT SETS AND RELATED METADATA TO A PLURALITY OF DOCUMENT/METADATA SUBSETS
	Group Art Unit:	2122
	Examiner:	Unknown
	Attorney Docket No.:	2103042-991100

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☒ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☐ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance; **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (d) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

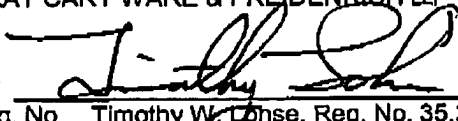
It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Respectfully submitted,

Date: August 23, 2004

GRAY CARY WARE & FREIDENRICH LLP

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*I hereby certify that this correspondence is being
facsimile transmitted to the United States Patent and
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Alexandria, VA 22313-1450*

August 23, 2004
Date


Cathy Pittman

Docket No. 2103042-991100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. 10/763,642 Filing Date: 01/22/2004
Applicant: David Howell Examiner: Unknown
Title: SYSTEM AND METHOD FOR COLLECTION AND CONVERSION
OF DOCUMENT SETS AND RELATED METADATA TO A
PLURALITY OF DOCUMENT/METADATA SUBSETS

Certificate of Facsimile Transmission under 37 CFR 1.8

I hereby certify that the following correspondence is being facsimile transmitted to the United States Patent and Trademark Office at facsimile number (703) 872-9306 on August 23 2004:


Cathy Pittman

1. Facsimile Transmission Cover Sheet (1 pg);
2. Transmittal Form (1 pg);
3. Information Disclosure Statement w/Form PTO 1449 (3 pgs);
4. Certificate of Facsimile Transmission (1 pg);